Un'editoria Diamond OA di qualità

Diamond OA Standard (DOAS) e sistemi di autovalutazione

Ginevra Peruginelli (IGSG-CNR)
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Virginia de Pablo (FECYT

28 Marzo 2025

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The DIAMAS project has received funding from the European Union's HORIZON-WIDERA-2021-ERA-01 grant

Funded by the European Union

DIAMAS

Developing Institutional open Access publishing Models to Advance Scholarly communication (DIAMAS)

- affronta gli aspetti di
  - Qualità / Sostenibilità / Governance / Policy

- focus su Riviste
  - Best Practices, Raccomandazioni, Standard

- Fornire alla comunità di ricerca un **ecosistema armonizzato e sostenibile di comunicazione scientifica OA**

- **Creare una comunità, servizi di supporto e un'infrastruttura** (non tecnica) per gli editori istituzionali e i fornitori di servizi di pubblicazione

- **Sviluppare e adottare standard comuni, linee guida e buone pratiche**

European Diamond Capacity Hub (EDCH)

DIAMAS

https://diamas.org

EUROPEAN DIAMOND Capacity Hub

Quality Alignment:
Diamond OA Standard and self assessment

Diamond Discovery Hub:
a list of Diamond OA journals that satisfy 6 operational criteria of Diamond OA

Training Platform:
training editorial staff

Resources & Guidelines:
how to run a journal

Registry & Forum:
community management

Publishing Tools:
digital resources, services, and platforms

DIAMAS

Di cosa parliamo oggi

- **Introduzione Diamond OA Standard (DOAS)**
  Virginia De Pablo (FECYT)
- **DOAS e i suoi 7 componenti**
  Ginevra Peruginelli (IGSG-CNR)
- **Strumenti di self assessment**
  Tommaso Agnoloni (IGSG-CNR)
- **Domande/Osservazioni**

DOAS

The Diamond Open Access Standard

Aligning
Diamond OA journals & publishers
on quality

Virginia de Pablo
FECYT
28 March, 2025

https://zenodo.org/records/13820036

DIAMAS

DOAS

quality as a public good

- Desk work and co-creation with the community.
- Based on the Extensible Quality Standard in Institutional Publishing (EQSIP v1 and EQSIP v2) from DIAMAS EU funded project.

DOAS
DIAMOND Open Access Standard

- An ***IPSP Best Practices*** report to analyze 71 documents
- ***EQSIP v1***: selecting relevant standards & best practices
- A ***gap analysis*** testing previous results
- ***EQSIP v2***
- ***Focus groups*** from various scholarly disciplines, regions, and languages that provided analysis & feedback
- An ***open consultation*** to qualified individuals from more than 10 countries
- Contributions from the publishing community enriched it, ensuring active participation in co-creation of ***DOAS***

DIAMAS

DOAS

quality as a public good

- Based on 7 core components outlined in the Action Plan for Diamond Open Access, revised and refined by the DIAMAS project team.
- Focused on journals.
- A comprehensive approach to maintaining high standards in scholarly publishing.

DOAS
DIAMOND Open Access Standard

1. Funding
2. Legal ownership, mission, and governance
3. Open Science
4. Editorial management, editorial quality, and research integrity
5. Technical service efficiency
6. Visibility, communication, marketing, and impact
7. Equity, diversity, inclusion, and belonging (EDIB), multilingualism, and gender equity

DIAMAS

How to use DOAS

- **100 ITEMS**
  - **53 REQUIRED** items ensuring fundamental practices.
  - **47 DESIRED** items offering additional recommendations.
- Essential compliance and aspirational goals for Diamond OA scholarly publishers.

DOAS
DIAMOND Open Access Standard

- Serves as ***the Diamond OA Standard for scholarly publishers***
- Represents a ***measure of quality*** and a point of reference for comparison
- Helps to ensure ***quality and transparency*** in governance, processes, and workflows of journals´ publishing activities
- Outlines ***required and desired*** items for compliance
- Emphasises clarity and transparency, prioritising ***openness in information dissemination***

DOAS – Diamond Open Access Standard

- Elenca una serie di **requisiti di qualità e trasparenza** (*essenziali* / *desiderabili*) nei processi e nei flussi di lavoro relativi alle attività di pubblicazione delle riviste Diamond OA

- Strutturato intorno a «**7 componenti**»

Short version
https://zenodo.org/records/14892097

https://zenodo.org/records/13820036

Funding
Diamond OA business model
Sustainability
Editorial independence
Open Science
Open policies
Authors' rights, Intellectual Property Rights and licensing
Repositories
Technical Service Efficiency
Publishing infrastructure
Interoperability and metadata
Collaboration
Visibility, Communication, Marketing and Impact
Presence
Communication
Analysis
Legal, Ownership, Mission and Governance
Ownership
Governance
Relations with service providers
Editorial Management, Editorial Quality and Research Integrity
Editorial bodies
Editorial quality
Peer review
Research integrity
EDIB, Gender and Multilingualism
EDIB and Gender
Accessibility
Multilingualism

DIAMAS

Alcuni esempi

1. Finanziamento

Sostenibilità a lungo termine, trasparenza dei finanziamenti e indipendenza editoriale

2. Proprietà, Missione e Governance

Essenziali per garantire qualità e trasparenza nelle pubblicazioni **Diamond OA**

3. Pratiche di Scienza Aperta

Fondamentali per la crescita e l'accessibilità delle pubblicazioni **Diamond OA**

DIAMAS

1. Finanziamento

Componente	Elementi specifici	Requisito	
		NECESSARIO	DESIDERATO
1. FINANZIAMENTI			
1.1. Modello di business Diamond OA	Nessun paywall	✅	
	Trasparenza sui paywall	✅	
1.2. Sostenibilità	Supporto finanziario	✅	
	Costi		✅
	Piano di sostenibilità		✅
	Trasparenza sui finanziamenti		✅
1.3. Indipendenza editoriale	Operazioni editoriali	✅	
	Flussi di entrate		✅

DOAS
DIAMOND Open Access Standard

DIAMAS

2. Proprietà, missione e Governance

DOAS
DIAMOND Open Access Standard

Componente	Elementi specifici	Requisito	
		NECESSARIO	DESIDERATO
2. PROPRIETÀ, MISSIONE E GOVERNANCE			
2.1. Proprietà	Comunità scientifica	✅	
	Dichiarazione di proprietà	✅	
	Cambiamenti di proprietà	✅	
	Trasparenza nella proprietà	✅	
2.2. Governance	Missione	✅	
	Guidato dalla comunità accademica		✅
	Procedura di selezione	✅	
	Co-pubblicazione	✅	
	Ruoli e responsabilità	✅	
	Trasparenza nella selezione del comitato editoriale		✅
2.3. Rapporti con i fornitori di servizi (SP)	Accordo tra editori e SP		✅
	Accordo tra editori di riviste e SP		✅

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3. Pratiche di scienza aperta

DOAS
DIAMOND Open Access Standard

Componente	Elementi specifici	Requisito	
		NECESSARIO	DESIDERATO
3. SCIENZA APERTA			
3.1. Politiche aperte	Politica di scienza aperta		✅
	Accesso aperto	✅	
	Rispetto dei mandati OA	✅	
	Dati di ricerca sottostanti	✅	
	Politica di gestione dei dati		✅
	Protocolli e metodi di ricerca		✅
	Software di ricerca aperti		✅
	Pubblicazione e condivisione di risultati scientifici negativi		✅
3.2. Diritti d'autore, diritti di proprietà intellettuale e licenze	Politica di conservazione dei diritti	✅	
	Licenza aperta	✅	
	Diritto d'autore dei terzi		✅
	Diritti dell'utente		✅
	Trasparenza sulla politica di conservazione dei diritti	✅	
3.3. Archivi istituzionali	Deposito di articoli pubblicati	✅	
	Accettazione di preprint		✅

DIAMAS

Il ruolo di DOAS per il successo del Diamond Open Access

- Identificare **punti di forza** e **aree di miglioramento**

- Garantire un ecosistema sostenibile e trasparente

Visibilità e riconoscimento: Più le pubblicazioni rispettano gli standard, maggiore sarà la visibilità e il **supporto da parte di finanziatori e istituzioni**

Sostenibilità: Un modello di qualità solido rende più probabile il sostegno economico e istituzionale nel lungo periodo.

DIAMAS

Self-assessment tools

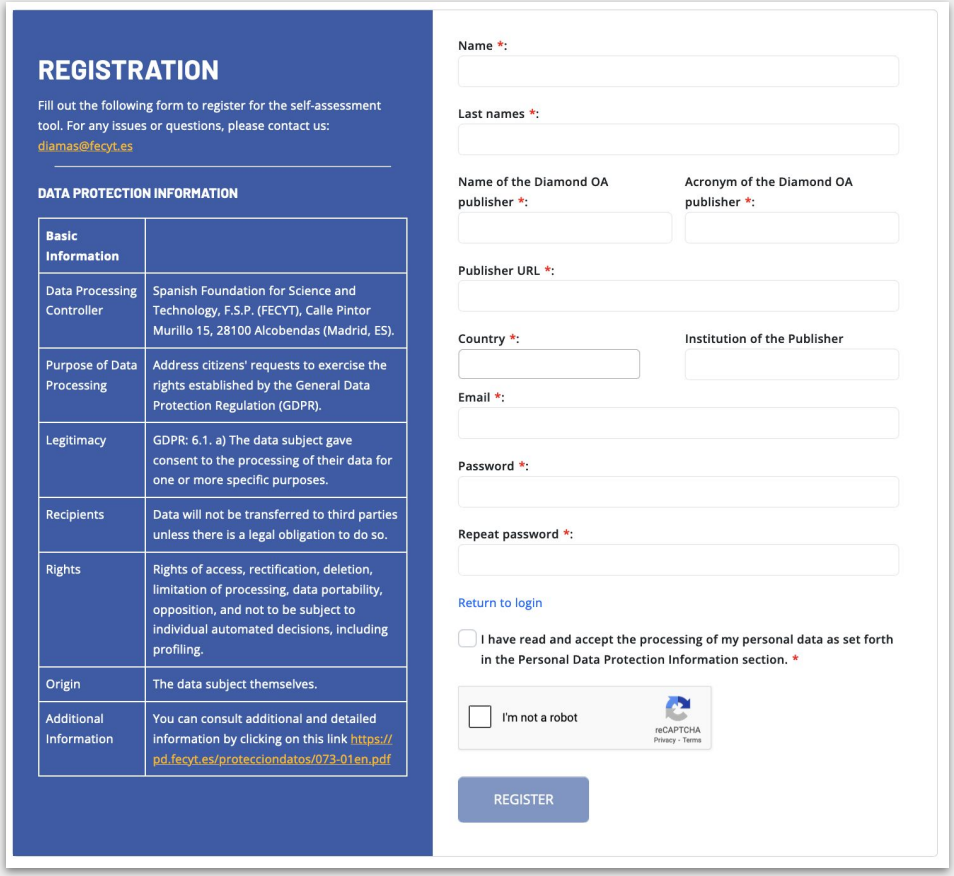
percorso guidato per valutare il proprio grado di conformità agli standard e identificare punti di forza e debolezze

- **DOAS Self-assessment**
- **Diamond OA Sustainability Check**

←→ *Articles* / *Guidelines* / *Training platform*

https://diamas.fecyt.es/login
https://youtu.be/_RUwV_bcFAI

DOAS
DIAMOND Open Access Standard



REGISTRATION

Fill out the following form to register for the self-assessment tool. For any issues or questions, please contact us: diamas@fecyt.es

DATA PROTECTION INFORMATION

Basic Information	
Data Processing Controller	Spanish Foundation for Science and Technology, F.S.P. (FECYT), Calle Pintor Murillo 15, 28100 Alcobendas (Madrid, ES).
Purpose of Data Processing	Address citizens' requests to exercise the rights established by the General Data Protection Regulation (GDPR).
Legitimacy	GDPR: 6.1. a) The data subject gave consent to the processing of their data for one or more specific purposes.
Recipients	Data will not be transferred to third parties unless there is a legal obligation to do so.
Rights	Rights of access, rectification, deletion, limitation of processing, data portability, opposition, and not to be subject to individual automated decisions, including profiling.
Origin	The data subject themselves.
Additional Information	You can consult additional and detailed information by clicking on this link https://pd.fecyt.es/protecciondatos/073-01en.pdf

Name *:

Last names *:

Name of the Diamond OA publisher *:

Acronym of the Diamond OA publisher *:

Publisher URL *:

Country *:

Institution of the Publisher

Email *:

Password *:

Repeat password *:

Return to login

I have read and accept the processing of my personal data as set forth in the Personal Data Protection Information section. *

I'm not a robot

reCAPTCHA
Privacy - Terms

REGISTER

Come funziona lo strumento di Self-assessment

Un processo in quattro fasi:

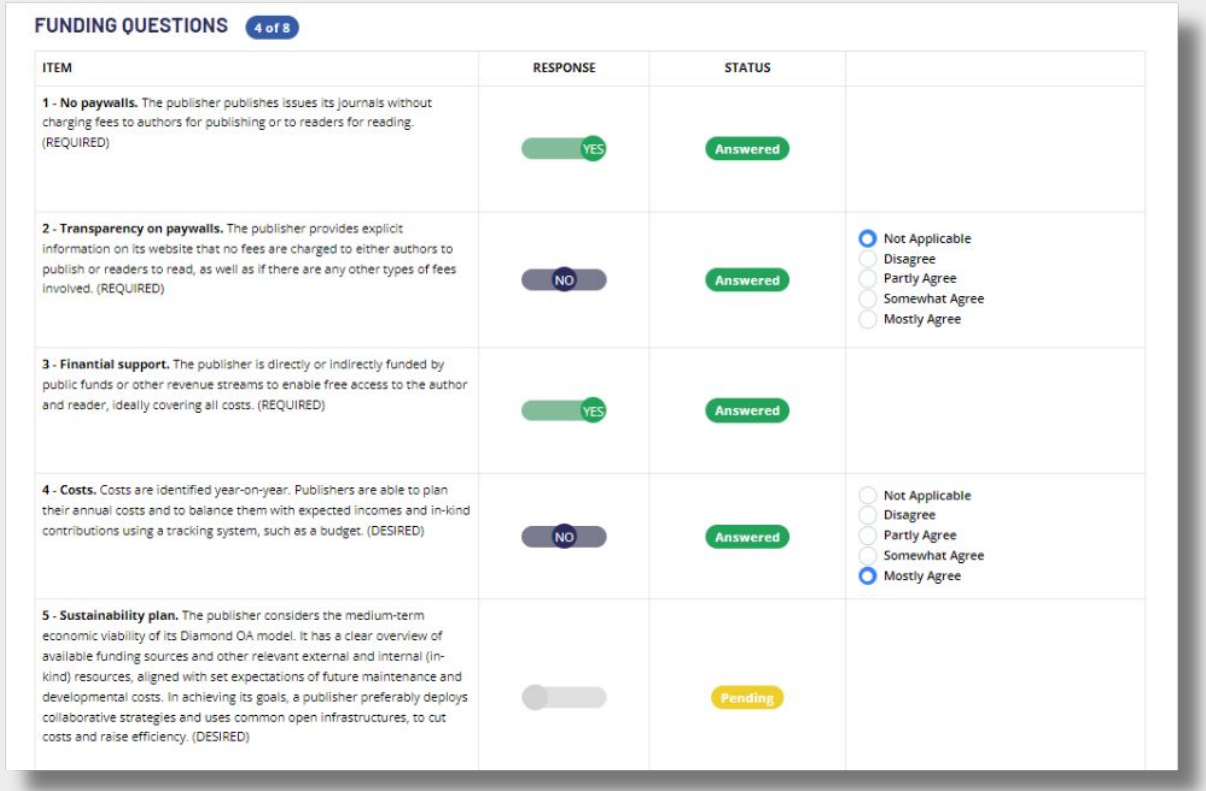
1. Registrati sulla piattaforma online

2. Completa un questionario strutturato basato sui 7 componenti DOAS

3. Ricevi un report dettagliato con punteggi e raccomandazioni

4. Utilizza risorse aggiuntive per migliorare la conformità con DOAS

DOAS
DIAMOND Open Access Standard



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DOAS
DIAMOND Open Access Standard

EDITORIAL MANAGEMENT, EDITORIAL QUALITY AND RESEARCH INTEGRITY QUESTIONS 24 of 24

ITEM	RESPONSE	STATUS
1 - Editorial independence. Editors-in-chief and/or Editorial Board have full responsibility over the entire editorial content of each journal published issued by the publisher. (REQUIRED)	YES	Answered
2 - Editorial bodies transparency. All journals of the publisher have a clearly defined and publicly displayed composition and constitution of its editorial bodies including: the names of the members of the editorial bodies and their affiliations; their editorial functions and roles; their PIDs and links to their institutional profiles to unambiguously specify the identity and affiliation of individual editorial bodies and board members. (REQUIRED)	YES	Answered
3 - Communication procedures between journals and the publisher. There are established procedures to facilitate communication between the editorial bodies of each individual journal and the publisher. These procedures aim to discuss political, commercial, or other incidents that might compromise the scientific credibility of the publication. They also facilitate the agreement on collaborative measures to ensure that such incidents do not influence the editor's decisions. Correspondence between referees, authors and publishers is subject to legal protection and kept confidential as needed. (REQUIRED)	YES	Answered
4 - Skills/training. The publisher supports and/or provides continuous		

Come funziona lo strumento di Self-assessment

Un processo in quattro fasi:

1. Registrati sulla piattaforma online

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4. Utilizza risorse aggiuntive per migliorare la conformità con DOAS

DOAS
DIAMOND Open Access Standard

Come funziona lo strumento di Self-assessment

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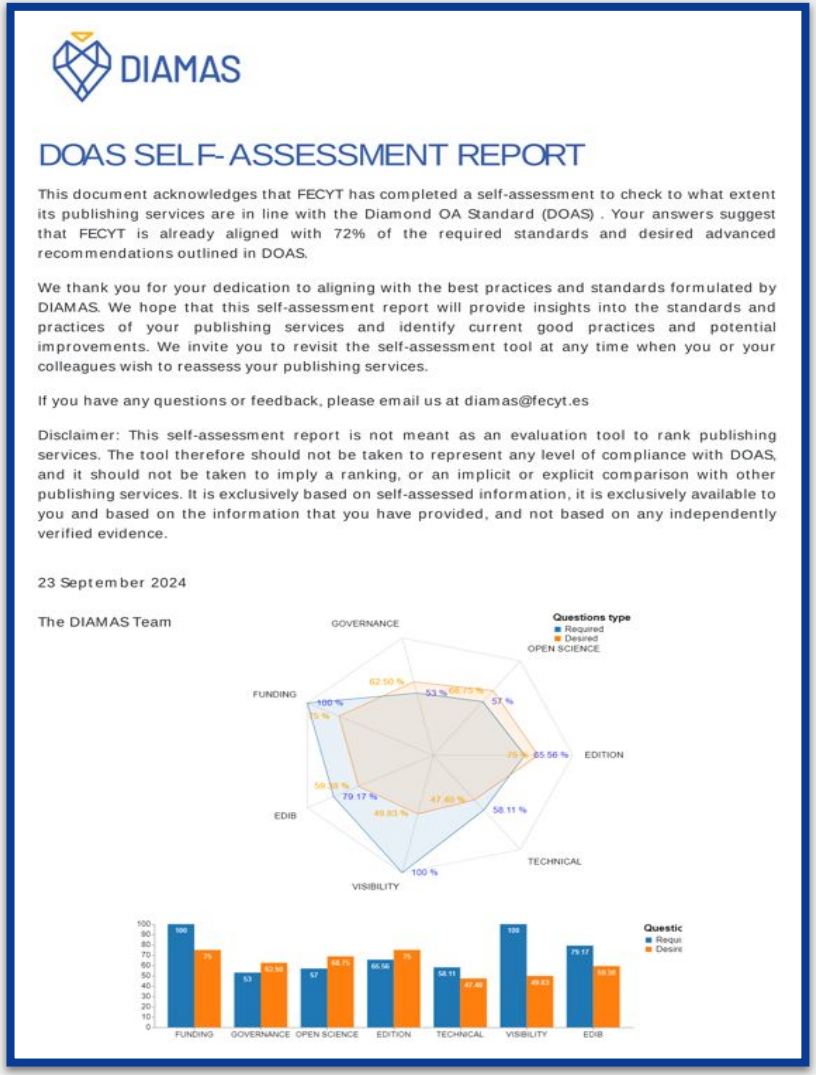
3. Ricevi un report dettagliato con punteggi e raccomandazioni

4. Utilizza risorse aggiuntive per migliorare la conformità con DOAS



DIAMAS

DOAS SELF-ASSESSMENT REPORT

This document acknowledges that FECYT has completed a self-assessment to check to what extent its publishing services are in line with the Diamond OA Standard (DOAS) . Your answers suggest that FECYT is already aligned with 72% of the required standards and desired advanced recommendations outlined in DOAS.

We thank you for your dedication to aligning with the best practices and standards formulated by DIAMAS. We hope that this self-assessment report will provide insights into the standards and practices of your publishing services and identify current good practices and potential improvements. We invite you to revisit the self-assessment tool at any time when you or your colleagues wish to reassess your publishing services.

If you have any questions or feedback, please email us at diamas@fecyt.es

Disclaimer: This self-assessment report is not meant as an evaluation tool to rank publishing services. The tool therefore should not be taken to represent any level of compliance with DOAS, and it should not be taken to imply a ranking, or an implicit or explicit comparison with other publishing services. It is exclusively based on self-assessed information, it is exclusively available to you and based on the information that you have provided, and not based on any independently verified evidence.

23 September 2024

The DIAMAS Team

DOAS
DIAMOND Open Access Standard

Come funziona lo strumento di Self-assessment

Un processo in quattro fasi:

1. Registrati sulla piattaforma online

2. Completa un questionario strutturato basato sui 7 componenti DOAS

3. Ricevi un report dettagliato con punteggi e raccomandazioni

4. Utilizza risorse aggiuntive per migliorare la conformità con DOAS

https://toolsuite.diamas.org

Un percorso tipico attraverso i servizi EDCH

Editore istituzionale/ Fornitore di servizi

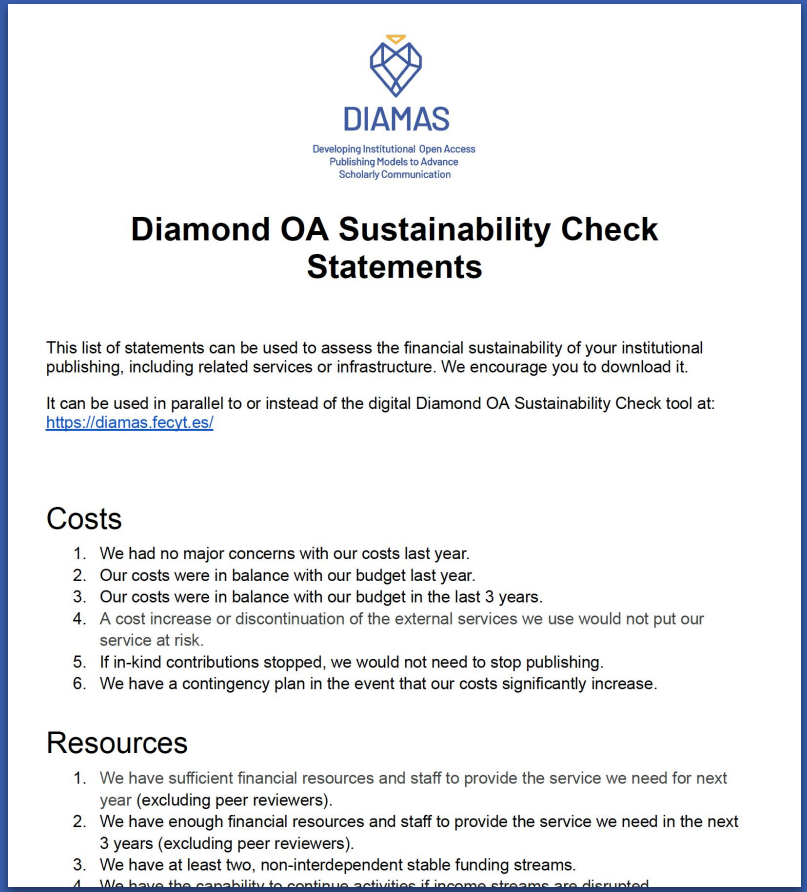
Register	Self-assess	Improve	Shine	Be sustainable
Registry	Diamond OA Standard (DOAS)	Toolsuite	Diamond Discovery Hub	Sustainability resources
SSO		Trainings		Funding
Forum	Self-assessment Tool	Plugins	Publisher dashboard	

Source: Pierre Mounier, Launch od EDCH. Madrid, 15 gennaio 2025

DIAMAS

Diamond OA Sustainability Check Statements

https://zenodo.org/records/13833718



DIAMAS

Developing Institutional Open Access Publishing Models to Advance Scholarly Communication

Diamond OA Sustainability Check Statements

This list of statements can be used to assess the financial sustainability of your institutional publishing, including related services or infrastructure. We encourage you to download it.

It can be used in parallel to or instead of the digital Diamond OA Sustainability Check tool at: https://diamas.fecyt.es/

Costs

1. We had no major concerns with our costs last year.
2. Our costs were in balance with our budget last year.
3. Our costs were in balance with our budget in the last 3 years.
4. A cost increase or discontinuation of the external services we use would not put our service at risk.
5. If in-kind contributions stopped, we would not need to stop publishing.
6. We have a contingency plan in the event that our costs significantly increase.

Resources

1. We have sufficient financial resources and staff to provide the service we need for next year (excluding peer reviewers).
2. We have enough financial resources and staff to provide the service we need in the next 3 years (excluding peer reviewers).
3. We have at least two, non-interdependent stable funding streams.
4. We have the capability to continue activities if income streams are disrupted.

DIAMAS

1. Costi
2. Risorse
3. Entrate
4. Infrastrutture/servizi condivisi
5. Crescita
6. Controllo, monitoraggio e gestione finanziaria
7. Pianificare il futuro

https://diamas.fecyt.es

DIAMOND OA SUSTAINABILITY CHECK

This Financial Diamond OA Sustainability Check is an output of the DIAMAS project.

If you are an Diamond OA publisher or service provider, conducting such a self-assessment can help you gain insights into your financial health to help you plan for a more sustainable future.

Answering this tool's questions generates a radar chart that displays costs, resources, income streams, whether you are involved in shared services, which mechanisms allow you to grow financially and how you control, monitor and manage finances and plan for the future.

You can take this assessment at any time, and previous sessions are logged and accessible to you in a password-protected environment.

DIAMAS

Costs

1. We had no major concerns with our costs last year.
2. Our costs were in balance with our budget last year.
3. Our costs were in balance with our budget in the last 3 years.
4. A cost increase or discontinuation of the external services we use would not put our service at risk.
5. If in-kind contributions stopped, we would not need to stop publishing.
6. We have a contingency plan in the event that our costs significantly increase

DIAMAS

Costs · Resources · Income · Infrastructure · Growth · Control · Pl

COSTS QUESTIONS 6 of 6

ITEM	RESPONSE	STATUS	
1. We had no major concerns with our costs last year.	YES	Answered	
2. Our costs were in balance with our budget last year.	YES	Answered	
3. Our costs were in balance with our budget in the last 3 years.	YES	Answered	
4. A cost increase or discontinuation of the external services we use would not put our service at risk.	YES	Answered	
5. If in-kind contributions stopped, we would not need to stop publishing.	NO	Answered	(•) Not Applicable ( ) Disagree ( ) Partly Agree ( ) Somewhat Agree ( ) Mostly Agree
6. We have a contingency plan in the event that our costs significantly increase.	NO	Answered	( ) Not Applicable (•) Disagree ( ) Partly Agree ( ) Somewhat Agree ( ) Mostly Agree

DIAMAS

Resources

1. We have sufficient financial resources and staff to provide the service we need for next year (excluding peer reviewers).
2. We have enough financial resources and staff to provide the service we need in the next 3 years (excluding peer reviewers).
3. We have at least two, non-interdependent stable funding streams.
4. We have the capability to continue activities if income streams are disrupted.
5. We do not need grants or sponsorship to cover deficits.
6. We are not regularly at the risk of having to close down

DIAMAS

Income

1. Our revenue streams are relatively stable.
2. We do not rely on grants, subsidies, sponsorship or philanthropic funds to cover key operational costs.
3. Last year we did not need grants, subsidies, sponsorship or philanthropic funds.
4. In the last 3 years, we have not needed grants, subsidies, sponsorship or philanthropic funds.
5. In the last 3 years, we successfully raised the external funds we needed.
6. Our revenue streams neither have a negative impact on quality nor on our editorial independence.

DIAMAS

Infrastructure / shared services

1. For core activities, we only use free services and infrastructure, but only to keep costs down.
2. We collaborate with others in order to cut costs and raise efficiency.
3. We use shared open infrastructures in order to cut costs and raise efficiency.
4. We develop shared services for others.
5. We develop shared services for others and gain sufficient income from it to cover operational costs.
6. We develop shared services for others and gain sufficient income from it to cover operational and development costs

DIAMAS

Growth

1. The volume of our publishing services has grown in the last year, as has our budget proportionally more or less.
2. The volume of our publishing activities and services has grown in the last 3 years, as has our budget proportionally more or less.
3. We would like to grow or expand our services and have the necessary resources to do so.
4. We have a budget for upgrades and improvements.
5. We work hard to have the funds to grow our service.
6. Year on year we have the funds to grow our service.

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Control / Monitor

1. We have a fair overview of our income and/or expenses.
2. We have a good overview of our income and/or expenses.
3. Expenses are tracked year-on-year.

DIAMAS

Plan for the future

1. Our funding sources are stable for the next year.
2. Our funding sources are stable for the next 3 years.
3. We financially plan for the future.
4. We have a strategy to guarantee the medium-term (3-year) economic viability of our service.
5. We plan for different financial scenarios.
6. We have a plan in place to wind down our service if we were no longer able to continue operating.

DIAMAS

Diamond OA Sustainability Check

Risultati

Resources & Guidelines

Sustainability resources

https://toolsuite.diamas.org/toolsuite-sustainability

- **Strategie di finanziamento** → Modelli di business e opzioni di sostegno economico.
- **Ottimizzazione delle risorse** → Strumenti per ridurre i costi e migliorare la gestione.
- **Supporto alla forza lavoro** → Analisi sul ruolo di personale, volontari e contributi *in-kind*.
- **Dati e ricerche** → Studi su finanziamenti, sostenibilità e scenari nazionali.

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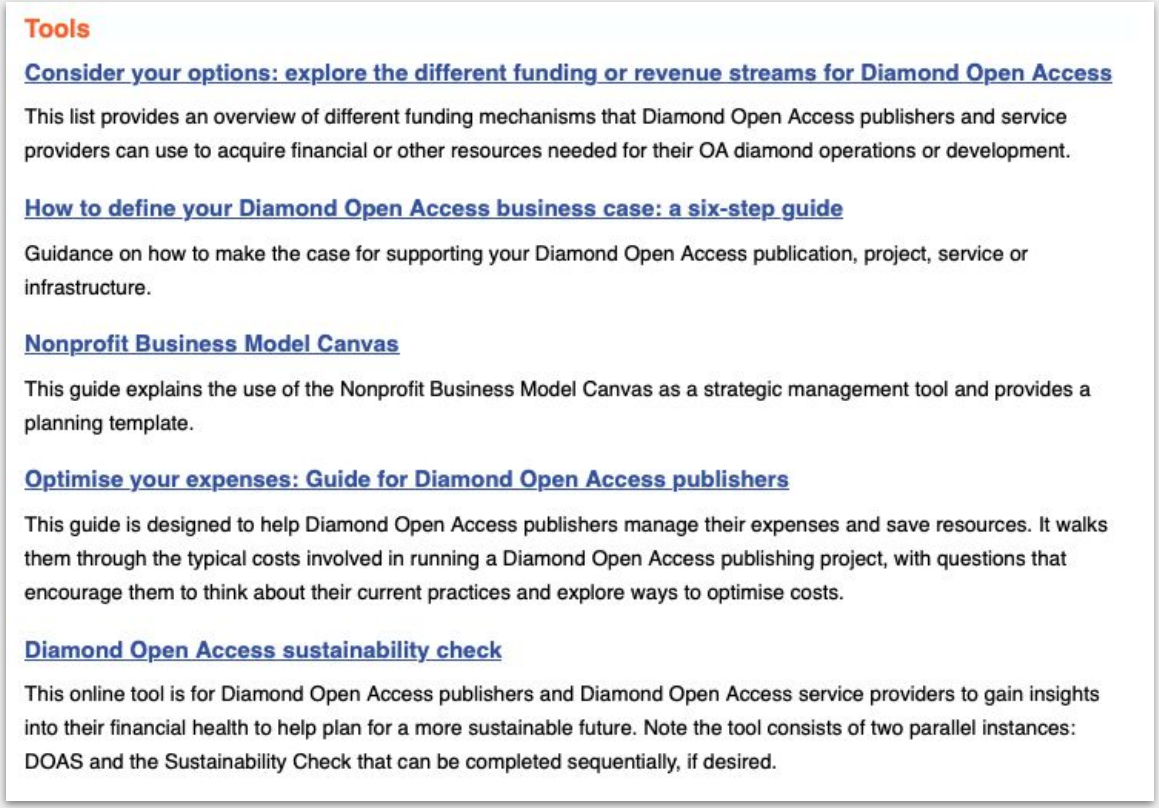

Tools

Consider your options: explore the different funding or revenue streams for Diamond Open Access

This list provides an overview of different funding mechanisms that Diamond Open Access publishers and service providers can use to acquire financial or other resources needed for their OA diamond operations or development.

How to define your Diamond Open Access business case: a six-step guide

Guidance on how to make the case for supporting your Diamond Open Access publication, project, service or infrastructure.

Nonprofit Business Model Canvas

This guide explains the use of the Nonprofit Business Model Canvas as a strategic management tool and provides a planning template.

Optimise your expenses: Guide for Diamond Open Access publishers

This guide is designed to help Diamond Open Access publishers manage their expenses and save resources. It walks them through the typical costs involved in running a Diamond Open Access publishing project, with questions that encourage them to think about their current practices and explore ways to optimise costs.

Diamond Open Access sustainability check

This online tool is for Diamond Open Access publishers and Diamond Open Access service providers to gain insights into their financial health to help plan for a more sustainable future. Note the tool consists of two parallel instances: DOAS and the Sustainability Check that can be completed sequentially, if desired.

DIAMAS

Diffusione, Partecipazione

- Zenodo
  https://zenodo.org/communities/diamasproject/

- Iscrizione alla newsletter
  https://diamasproject.eu/

- Webinar / Eventi
  - News & Events – DIAMAS
  - Activities & Results - DIAMAS
  - DIAMAS Project - YouTube

Aprile 2025: invito all'iscrizione di *Publishers*, *Service providers*, *Tools and Technology providers* a:

- **EDCH Registry**
- **EDCH Forum**

https://registry.diamas.org/

DOMANDE? OSSERVAZIONI?

Grazie per la vostra attenzione!

www.diamasproject.eu

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